Role of deposition temperature on the mechanical and tribological properties of Cu and Cr co-doped diamond-like carbon films

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ABSTRACT

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In this study, Cu and Cr co-doped diamond-like carbon (Cu/Cr-DLC) films were synthesized using a hybrid ion beam deposition system. The effects of deposition temperature on the metal content, hardness and wear behavior of Cu/Cr-DLC films were investigated. Particularly, an optical emission spectroscopy was applied to characterize the plasma at different deposition temperatures. Results showed that the intensities of Cu and Cr spectral lines decreased dramatically as the temperature increased from 60 to 250 °C. The concentrations of Cu and Cr decreased from 18.1 to 2.7 at.% and 10.5 to 2.2 at.%, respectively. Meanwhile, high temperature promoted the formation of the Cr carbide phase, which was beneficial to achieve high hardness of the film. Although the residual stress increased with the rise of deposition temperature, the Cu/Cr-DLC film showed a better adhesive strength in comparison with pure amorphous carbon film. The wear resistance was also significantly improved at high deposition temperature, and only faint wear was observed when the temperature was above 150 °C. The excellent tribological performance of Cu/Cr-DLC film was mainly attributed to good mechanical properties and the formation of continuously compacted graphitized transfer film under dry sliding conditions.

1. Introduction

Metal-doping is of extreme importance in the scientific and engineering fields of diamond-like carbon (DLC) films owing to the contribution of lowering high intrinsic residual stress and enhancing adhesion of pure DLC films on substrate [1–3]. Meanwhile, it is also utilized to tailor the mechanical, tribological and optical properties of pure DLC films [4–6]. According to bonding characteristics, metal dopants are classified into two main categories: carbide forming elements and non-carbide forming elements. And it is worthy of note that the structures and properties of metal doped DLC (Me-DLC) films significantly depend upon the features of doped metal. By comparison, introducing the carbide forming elements, like Ti [7], Cr [8] and W [9], into DLC films could mainly improve the hardness and wear rate by the formation of hard carbide phase in the carbon matrix, but the problems of high compressive stress and brittleness still remain. Non-carbide forming elements (like Ag [10], Cu [11], Al [12]) incorporated into DLC tend to form ductile metal phases in the carbon matrix, which favors the tribological properties by increasing the toughness of the films, but both the residual stress and hardness of film reduced drastically. Therefore, it still remains a big challenge for preparing Me-DLC films with both outstanding mechanical and tribological properties via mono metal-doping.

Recently, many researchers attempted to improve the integrated performances of DLC films by various duplex metal-doping methods. Li et al. [13] found that the introduction of low Ti/W content could effectively lower the residual compressive stress, and improve the film hardness as well as wear resistance. The duplex W-doped nanocomposite carbon-based films (such as nc-WC/a-C(Al)) also possessed a good balance among hardness, toughness, low internal stress, superior low-friction and anti-wear properties [14]. Moreover, through ab initio calculations we found that Ti/Al or Cu/Cr co-doping with appropriate metal contents could remarkably reduce the intrinsic stress of DLC films without deteriorating the mechanical properties [15,16]. And the good performance was attributed to the synergistic effects of soft ductile non-carbide forming metals and hard carbide forming ones. These bring forward a different concept to endow DLC films with superior comprehensive properties by the synergistic effect from the carbide and...
non-carbide forming metals. Nevertheless, an in-depth understanding of the co-doped DLC films on the relationship between the structure and properties is still required. In particular, most of previous works focused on the dependence of structural properties on co-doped metal content, sputtering current and bias voltage [14,17], the effect of substrate temperature on metal co-doped DLC films is not given enough attention. In fact, deposition temperature is an important parameter affecting the properties of DLC films. On the one hand, high temperature can increase the mobility of the particles and promote the formation of the carbide phase [18], which could maintain high hardness. On the other hand, it can promote the formation of the structural ordering of DLC films by increasing the temperature, thereby improving the tribological properties of the film [19].

Our previous study has fabricated the Cu/Cr co-doped DLC films by a hybrid ion beam deposition system [20], which obtained lower residual stress and higher hardness by accurately controlling Cu and Cr concentration. Unfortunately, the good tribological properties of DLC films were significantly destroyed. Therefore, in order to obtain high hardness and good friction performance, Cu/Cr co-doped DLC films were deposited at higher temperature in this study, the effects of the deposition temperature on the microstructure, adhesion, mechanical and tribological properties of the Cu/Cr co-doped DLC films were investigated.

2. Material and methods

2.1. Experiment

The Cu/Cr co-doped DLC films were deposited by a hybrid plasma process combining the DC magnetron sputtering (DCMS) and an anode-layer ion beam source (ALIS) [21]. P-(100) silicon wafers with thickness of 450 ± 20 μm were used as substrates for microstructure and properties analysis, and a thin single crystal Si with thickness of 240 ± 5 μm was used to estimate the residual stress. Meanwhile, a high speed steel (HSS) substrate was used to evaluate the adhesion of the Cu/Cr-DLC film deposited at 200 °C. Acetylene precursor was supplied to the ALIS source for DLC films deposition. A composite Cu50Cr50 (99.95% in purity) target with 400 mm × 10 mm × 7 mm in size was fixed on the DCMS source for Cu/Cr co-doping. Prior to deposition, silicon wafers were ultrasonically cleaned with acetone and alcohol for 10 min, respectively, and dried in air blow before putting into the vacuum chamber. The distance from the center of samples to ALIS and Cu50Cr50 target was controlled at around 20 cm. The chamber was evacuated to a base pressure about 2.7 × 10⁻³ Pa. A 10 min Ar plasma etching was performed to remove contamination layer and undesirable oxide prior to film deposition. During deposition, the films were deposited for 15 min in the mixture precursors with 65 sccm Ar gas and 15 sccm C2H2 gas, the working pressure was about 0.39 Pa. The DC power applied to the Cu50Cr50 target was maintained at about 1.3 kW, and the working current and voltage of the ALIS were 0.2 A and 1300 ± 50 V, respectively. The deposition times of all the processes. The deposition times of all the films with deposition temperature, the plasma near substrate region was investigated through optical emission spectroscopy (OES). The emitted light from the plasma was collected by using an optical fiber connected to an Acton SpectraPro SP-2500 spectrometer (Princeton Instruments) equipped with a SpectraHub detector. The spectrometer was calibrated by typical mercury pen lamps.

The chemical composition of the deposited films were investigated by X-ray photoelectron spectroscopy (XPS, Axis Ultra-DLD, Japan) with Al (mono) Kα radiation. Before commencing the measurement, Ar⁺ ion beam was used to etch the sample surface for 3 min to remove contaminants and oxide. The cross-sectional microstructures and Cu/Cr concentration of as-deposited films were investigated by field-emission scanning electron microscopy (FESEM, S-4800, Japan) with an energy dispersive X-ray spectroscopy (EDS, Hitachi S-4800). Raman spectroscopy with a laser wavelength of 532 nm (inVia-reflex, UK) was employed to characterize the carbon atomic bond details of as-deposited films.

Hardness and Young’s modulus were characterized by a load-controlled MTS NANOG200 nano-indentation equipped with a Berkovich diamond tip. In order to get the sufficient resolution of a range of penetration depths, a continuous stiffness measurement (CSM) mode with a maximum indentation depth of 200 nm was selected to obtain load independent mechanical properties. Six replicate indentations were made for each sample, and took the hardness value around 10% of the thickness as the hardness of film. The residual stress was calculated according to the Stoney equation and the film/substrate curvature was determined by a stress tester (JLCST022, J&L Tech) using a laser reflection method. Scratch testing was used to evaluate the adhesion ability of the films. The tests were performed by a CSM device using a spheroconal diamond probe with an end radius of 200 μm. The scratch length was 3 mm and the applied load was varied from 1 N to 50 N with a loading rate of 49 N/min. After the tests the films were evaluated using optical microscopy. The tribological properties of the films were measured on a rotary ball-on-disk tribometer (JLTB-02, Korea) under dry sliding conditions at 24 °C, 56 RH%. A steel ball (SUJ2) with a diameter of 6 mm was used as the friction counter body. All the tests were performed at a sliding speed of 50 mm s⁻¹ and the applied load was 5 N. The wear tracks were investigated by a surface profiler and FESEM. The composition and structure of the wear scar and debris were analyzed by Raman spectroscopy.

3. Results and discussion

3.1. Chemical composition and microstructure

The chemical composition of the deposited Cu/Cr-DLC films characterized by EDS is presented in Fig. 1(a). With the increase of deposition temperature, the contents of Cu and Cr in the films varied from 18.1 to 2.7 at.% and 10.5 to 2.2 at.%, respectively. The concentration of Cu was much higher than that of Cr in the films, which deviated from the ratio of Cu/Cr in the target. This phenomenon could be attributed to the different sputtering yields of Cu and Cr atoms [22].

Fig. 1(b) displays the changes in the emission intensities of Cu/Cr and Ar as function of the deposition temperature. When the deposition temperature increased from 60 °C to 250 °C, the intensities of Cr, Cr⁺, Cu at 360 nm, 486 nm, 521 nm and 656 nm decreased sharply, while Ar⁺ and Ar intensities at 358 nm, 426 nm and 434 nm presented a similar trend. On one hand, when the deposition temperature increased, the collision frequency of the particles (including electrons and molecules) increased, which could lead more high-energy electrons to lose energy and degenerate into low-energy electrons, then decreased the ionization efficiency. As a consequence, the lower argon ion density reduced the bombardment efficiency of Cu/Cr target. On the other hand, the accumulation of carbon particles on the surface of metal target could also weaken the sputtered Cu and Cr atoms densities.

Fig. 2 illustrates the cross-sectional SEM images and thickness of the deposited Cu/Cr-DLC films. The film deposited at 60 °C exhibited the
columnar microstructure which was composed of small nanoparticles. With the increase of the deposition temperature, the nanoparticles size decreased and typical amorphous feature was observed. Besides, the film thickness significantly decreased from 548 to 305 nm as the deposition temperature increased from 60 to 250 °C, which showed the same trend as the metal concentration. It meant that metal concentration dominated the deposition rate of the Me-DLC films due to a higher sputtering yield of the metal target materials [23].

In order to understand the structural evolution of films at different deposition temperatures, Raman analysis was invoked to obtain the carbon atomic bond characteristics in the Cu/Cr-DLC films. Fig. 3(a) displays the Raman spectra of the deposited films. All the spectra of the Cu/Cr-DLC films presented a broad asymmetric Raman scattering band in the range of 1000 to 1700 cm\(^{-1}\), which implied the typical structural feature of DLC films [24]. Moreover, the DLC Raman spectra could be fitted by two Gaussian peaks: D peak around 1380 cm\(^{-1}\) and G peak around 1550 cm\(^{-1}\) (the fitting result of film deposited at 250 °C in Fig. 3(a)) [24]. Usually, the intensity ratio of D peak to G peak (I_D/I_G), the G peak position, and the full width at half maximum of the G peak (GFWHM) are used to monitor carbon bonding. It is known that high sp\(^2\)/sp\(^3\) ratio can make the G peak position shift to higher value of Raman shift, while higher bond length and bond angle disorder can lead to a higher value of GFWHM [25]. As shown in Fig. 3(b), the G peak position shifted from 1546 cm\(^{-1}\) to 1549 cm\(^{-1}\), and slightly decreased to 1548 cm\(^{-1}\) at 150 °C, then increased to 1556 cm\(^{-1}\), while the I_D/I_G first increased from 1.52 to 1.87 and then decreased to 1.62 with the deposition temperature varied from 60 to 250 °C. By contrast, the I_D/I_G ratios of the films beyond of 100 °C were higher than that of the film at 60 °C. The results indicated that the films tended to be graphitizing at high deposition temperature, which could attribute to the excess energy provided by high deposition temperature to prevent the formation of a high sp\(^3\) fraction [26]. The value of GFWHM slightly increased with the rising deposition temperature, which demonstrated that the structural disorder of the films increased with the deposition temperature.

Fig. 4 presents the elemental bonding states in Cu/Cr-DLC films analyzed by XPS. In Fig. 4(a), the intensity of the Cu 2p peak decreased with the increase of deposition temperature. Except for 250 °C, the main peaks of Cu 2p\(_{1/2}\) in all films presented the narrow symmetric shape centered at ~932 eV, corresponding to metallic state Cu or oxide state Cu\(_2\)O [19]. Unfortunately, Cu phase was difficult to be distinguished from Cu\(_2\)O phase because their binding energies are too close. Generally, there is no Cu carbide forming on account of the weak bond

![Fig. 1.](image1)

![Fig. 2.](image2)
between Cu and C atoms [27]. Fig. 4(b) is the normalized core level C 1s spectra of Cu/Cr-DLC films deposited at various temperatures. The C1s spectra showed large asymmetric peaks, suggesting the existence of carbon atoms in various bonding states. In particular, a binding energy around 283 eV was visible at the temperature below 250 °C, which indicated the existence of the chromium carbide. Fig. 4(c) shows typical fitting profiles of C 1s peaks for the film deposited at 200 °C. The four peaks corresponded to the sp²–C bond around 284 eV, the sp³–C bond around 285 eV, the C–O bond around 286 eV and the Cr–C bond around 283 eV, respectively. Moreover, the bond fractions of the C–C/C–H, Cr–C and C–O bonds in films were determined by the relative peak areas of the decomposition peaks of the C 1 s spectra, and were displayed in Fig. 4(d). Interestingly, the fraction of Cr–C bond showed a sharp increase from 1.7 to 7.0% as Cr concentration decreased from 18.1 to 15.8 at.%. Even the Cr content decreased to 6.2 at.% at 200 °C, the fraction of Cr–C bond was 4.18%, which was higher than that of film deposited at 60 °C. This result proposed that the deposition temperature was beneficial to the formation of Cr–C phase. The reason was that the thermal energy provided by high deposition temperature was enough to promote the bonding between Cr and C atoms [18]. Besides, the fraction of C–O bond in the films remained fairly constant with a value of 3.0 at.%. The presence of oxygen was caused by the insufficient vacuum during the plasma deposition process.

3.2. Mechanical properties and adhesion tests

Fig. 5 shows the mechanical properties on hardness, elastic modulus and H/E ratio of Cu/Cr-DLC films as a function of deposition temperature. When the deposition temperature increased from 60 °C to 100 °C, the hardness decreased slightly from 12.2 ± 0.17 GPa to 10.5 ± 0.13 GPa. However, the hardness and elastic modulus increased as the deposition temperature was higher than 100 °C. In
H/E (0.18 GPa) ratios were obtained at 250 °C. The results indicated further increase in deposition temperature. The highest H/E (0.10) and position temperature up to 100 °C, followed by a steady increase with a similar to that of the hardness. The ratios slightly decreased with deposition temperature, which were indicators for the toughness of DLC films. At low deposition temperature, a large amount of Cr carbide broken the continuity of the carbon network, which could destroy the high hardness of DLC films. For Cu/Cr-DLC films deposited at 60 °C, the peripheral cracking was observed from the optical micrographs which might result from the different plastic deformation between film and HSS substrate. However, the micrograph of the film under the scratch track was still complete, meant the film had a high cohesion force due to its low residual stress. Through the analysis of acoustic emission signals analysis, the critical load of the Cu/Cr-DLC film was 30.5 N. On the contrary, Pure DLC film presented obvious adhesion failure before the diamond stylus penetrated the substrate at load 50 N, and the critical load was 18.7 N. It was revealed that Cu/Cr doping was beneficial to the improvement of the adhesion between DLC and HSS substrate.

3.3. Tribological properties

A load of 5 N was applied to detect the tribological properties of the films in ambient air, as demonstrated in Fig. 8. At low deposition temperature (< 150 °C), the films presented high and fluctuating friction coefficients (Fig. 8(a)). In particular, the steady-state friction coefficient of film deposited at 60 °C showed the highest value about 0.36. The corresponding cross-section profiles of the wear track shown in Fig. 8(b) revealed a large wear depth (> 560 nm), illustrating that the film had already been worn out. As the deposition temperature increased, the wear tracks of the films became smaller and shallower, which was shown in Fig. 8(c)–(d). Specially, the films deposited at 200 °C and 250 °C presented a relatively steady and low coefficient of friction < 0.11. Meanwhile, good wear resistance was obtained, and the wear track was difficult to be seen from the surface profile.

Fig. 9 presents the corresponding morphologies of the wear tracks on the films. The deepest and broadest wear track with mass of wear debris and obvious furrows was observed at 60 °C. Some small fragments of the films were even delaminated during the test. The wear tracks of the films deposited at higher temperatures became smaller and shallower. As the deposition temperature increased to 200 °C and 250 °C, there was no evidence of scratches or wear debris in the vicinity of the wear tracks.

Fig. 10 shows the SEM images and corresponding elemental
Fig. 7. Comparison of scratch test of (a) Cu/Cr-DLC (200 °C) (left) and (b) pure DLC films (right) deposited on HSS substrates. Acoustic emission curves and optical micrographs of the scratch tracks showed the failure behavior for these two films. The normal load was increased from 0 to 50 N.

Fig. 8. (a) Friction coefficient and (b)–(d) corresponding cross-section profiles of the wear tracks for different Cu/Cr-DLC films as a function of sliding time.
distribution maps of wear scars on counterpart steel balls. The elemental distribution analysis of the wear scars included five kinds of elements, which were the Fe, O, Cu, C and Cr, respectively. Each element was marked with a different color, and the density of each color represented the relative content of the corresponding element. It could be identified that the transfer layer was formed on the steel ball counterpart for all samples. Besides, wear debris was apparently observed at the deposition temperature below 150 °C. Particularly, there were amount of wear debris accumulated around the wear scars, when the film was deposited at the temperature of 60 °C. The elemental distribution maps showed that the wear debris was composed of much more Cu, O and less carbon. Moreover, the thin transfer layer presented the similar result, implying the formation of a Cu oxide phase. The wear scars corresponding to 100 °C and 150 °C exhibited thick transfer layers, which were presented by Cu, O and a little carbon as well. Subsequently, the wear scars at the temperature of 200 °C and 250 °C were relatively smaller, and no obvious wear debris was observed. The transfer layers displayed a reduced Cu, O and Carbon content. In addition, a continuous and compact transfer film emerged on the steel ball at the deposition temperature of 200 °C, and it mainly contained carbon and a little Cu. However, it was difficult to verify the change of Cr element in the transfer film with different deposition temperatures, because the thickness of the transfer film was sub-micrometer and the Cr in the steel ball could be detected.

In order to obtain further information about the nature of the tribological behavior on the counterface, Raman tests were conducted for the transfer film. Fig. 11 shows the Raman spectra of transfer films on the steel ball after sliding against Cu/Cr-DLC films. As shown in Fig. 11(a), there were several peaks generated at 200 cm$^{-1}$, 224 cm$^{-1}$, 300 cm$^{-1}$, 334 cm$^{-1}$, 433 cm$^{-1}$, 532 cm$^{-1}$ and 676 cm$^{-1}$, indicating the transfer films formed below 150 °C contained copper oxide phases. According to the related references [30–33], the peaks at 218 cm$^{-1}$, 430 cm$^{-1}$, 530 cm$^{-1}$ and 665 cm$^{-1}$ could be assigned to the characteristic phonon modes of the Cu$_2$O, while the characteristic peaks of CuO phase were located at 298 cm$^{-1}$ and 330 cm$^{-1}$. Hence one can see that the peak positions obtained from the transfer film is slightly different from that of the above references. It was demonstrated that the peak deviation or new peak generation was originated from the nanoscale structure of the copper oxide phase. Related reference proved that the size of copper oxide phase could dramatically influence the peak position, peak intensity even the peak numbers of the Raman spectra by the change of the internal vibration mode [34]. In addition, the composition of transfer films depended strongly on the deposition temperature. At 60 °C, the transfer film was mainly composed of Cu$_2$O phase. With the deposition temperature increased, the composite of transfer film was dominant CuO phase with a little Cu$_2$O phase. When the deposition temperature reached up to 200 °C and 250 °C, the concentration of Cu metal dramatically decreased, and no copper oxide phase could be observed on the transfer film. However, Cr oxide was absent in the Raman spectra due to the chemical reaction between C and Cr atoms. As shown in Fig. 11(b), the DLC transferred from the film to transfer films was indicated by the presence of D and G peaks at about 1350 cm$^{-1}$ and 1580 cm$^{-1}$, respectively. According to the intensity ratio of D peak to G peak (I_D/I_G), increasing the deposition temperature from 60 °C to 200 °C led to the monotonous increase of I_D/I_G from 0.94 to 3.51, which decreased to 2.63 at 250 °C and was higher than that of the as-deposited film (Fig. 3). Since the graphitization was formed at higher deposition temperatures during the tribological tests, two reasons could be employed to understand this evolution of carbon states. First, Marciano et al. [35] showed clearly that the silver nanoparticles incorporated in the DLC film were beneficial to reduce the reaction of oxygen with carbon. So it is possible for Cu to form copper oxide and reduce the reaction of oxygen with carbon. In such case, the graphitization catalyzing potential of the doped Cu atoms reduced during the sliding process. Second, more copper oxide and Cr–C phase could enhance the thermal stability of the film, which might lead to delay the transforming of some sp$^2$ to sp$^3$ carbons [36,37].

Based on the observed results, a simple model was proposed to describe the contact between steel ball and Cu/Cr-DLC, as shown in Fig. 12. For DLC film with high Cu/Cr concentration at 60 °C, a strong
Fig. 10. SEM images and elemental distribution maps of wear scar on steel balls sliding against the Cu/Cr-DLC films deposited at different temperatures: (a) 60 °C; (b) 100 °C; (c) 150 °C; (d) 200 °C; (e) 250 °C.

Fig. 11. Raman spectra of transfer films, (a) Raman shift at the range of 0–750 cm\(^{-1}\), (b) Raman shift at the range of 800–2000 cm\(^{-1}\).
adhesive bond is occurred between the contacting asperities, leading to severe plastic shearing at the junction [38–40]. Fig. 11 showed the confirmation that the presence of tribolayer generated a dynamic adhesion with primarily oxidative wear. Moreover, the XPS (Fig. 4) results indicated that an obvious abrasive wear was caused by the formed hard Cr–C phase in the film. In this case, the friction coefficient remained high due to shearing and extrusion of the soft Cu material in and out of the contact [25]. Hence, the film was seriously worn out. Representative, for Cu/Cr-DLC film at the deposition temperature of 200 °C, A continuous and compact transfer film forming on the counterface prevented the direct contact between two surfaces. In addition, graphitization induced on the sliding interface in the friction process, was assumed to play an important role in decreasing the friction coefficient and wear volume [37]. Moreover, the H/E ratio is a suitable parameter for predicting wear resistance [41], the higher the H/E ratio, the higher the resistance to wear of films. The H/E ratio of the films deposited at 200 °C was higher than that of films at low deposition temperatures, showing better wear resistances at the same friction condition. It was illustrated that good mechanical properties and the continuous graphitized transfer film were the main reasons for excellent tribological performances of Cu/Cr-DLC films deposited at high temperature.

4. Conclusions

In the present work, Cu/Cr-DLC films were deposited via a hybrid system combined with a DC magnetron sputtering source and a linear ion source. The mechanical and tribological properties were discussed in terms of the evolution of chemical composition and microstructure at different deposition temperature. Results revealed that the elemental compositions of Cu/Cr-DLC films were tailored by the deposition temperature. As the deposition temperature rose, the concentrations of Cu and Cr decreased, and the formation of Cr carbide was promoted, which resulted in the improvement of the hardness and toughness of the Cu/Cr-DLC films. Although the residual stress of the film deposited at 200 °C was higher than that of films deposited below 150 °C, it presented excellent adhesion and friction performance. The superior mechanical properties and the formation of graphitization transfer film were the main reasons for the good wear resistance behavior at high deposition temperature.

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